

# Delivery Instructions

Telephone: 1-800-877-7210 (for Clients/LPL Advisors)  
Email: [contra.transferstatus@lplfinancial.com](mailto:contra.transferstatus@lplfinancial.com) (for contra party use only)  
Broker Number 0075 • Symbol LPLA • Tax I.D. 95-2834236

## 1. LPL Financial LLC

1055 LPL WAY OR  
P.O. BOX 6625 (ZIP 29715-6625)  
FORT MILL, SC 29716  
(ATTN: VARIOUS DEPARTMENT)

## 3. Fed Eligible Securities

BK OF NYC/LPL  
ABA #021000018  
FOR FURTHER CREDIT TO LPL ACCOUNT # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT NAME \_\_\_\_\_

## 5. Checks

IRA ACCOUNTS - ATTN MONEY DESK  
Make check payable to LPL FBO Client  
(Include the LPL account number on the check)  
(Please include "Trustee-to-Trustee Transfer" or "Direct Rollover" on the check depending on the delivering firm's IRS coding.)

NON-IRA ACCOUNTS - ATTN MONEY DESK  
Make check payable to LPL FBO Client  
(Include the LPL account number on the check)

### Mailing Address:

LPL Financial LLC  
Attn: M Desk  
P.O. BOX 6575  
FORT MILL, SC 29716-6575

## 7. Fed Wire Funds

ABA #021000018 THE BANK OF NEW YORK  
ACCOUNT NAME:  
LPL FINANCIAL LLC WIRE RECEIPT  
CREDIT ACCOUNT NUMBER: 8900511524  
F/F/C (CLIENT'S LPL ACCOUNT NAME AND NUMBER)  
(E.G. F/F/C JOHN DOE, LPL A/C# 12345678)

## 9. Reject Letters

ATTN: ACCOUNTS TRANSFERS DEPARTMENT  
1055 LPL WAY  
FORT MILL, SC 29715

## 11. LPL A/C # Mutual Funds (Registration Documents)

ATTN: MUTUAL FUNDS PROCESSING DEPT.  
P.O. BOX 6625  
FORT MILL, SC 29716-6626  
CONTACT: (858) 450-9606 EXT. 7766

## 13. Precious Metals

FIDELITRADE INC. 3601 N. MARKET ST.  
WILMINGTON, DE 19802  
FOR LPL A/C #19387  
FFCT/CLIENT LPL A/C # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT NAME \_\_\_\_\_

## 2. Options

OCC #0075  
FOR FURTHER CREDIT TO LPL ACCOUNT # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT NAME \_\_\_\_\_

## 4. ACH

Bank of New York  
ABA #021000018  
CREDIT TO ACCOUNT: 146000000 + LPL Account #  
(ex. 14600000012345678)

## 6. Physical Certificates (IRA & Non-IRA Accounts)

ATTN: CUSTODY DEPT.  
(Please include the LPL account number on the certificate along with any required documentation)  
P.O. BOX 6275  
FORT MILL, SC 29716-6276

For overnight delivery, use street address in SECTION 1

## 8. Alternative Investments (LPs, REITs, Private Placements)

MAIL TO: PRIVATE TRUST COMPANY, N.A.  
C/O LPL FINANCIAL LLC  
ATTN: ACCOUNT TRANSFER DEPARTMENT - AIP PROCESSING  
1055 LPL WAY  
FORT MILL, SC 29715

## 10. Foreign or Euroclear Securities

Contact LPL first via email: [settlements.mailbox@lpl.com](mailto:settlements.mailbox@lpl.com)  
MERRILL LYNCH  
A/C #64U80075  
REFERENCE: LPL FINANCIAL LLC  
FOR FURTHER CREDIT TO LPL ACCOUNT # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT NAME \_\_\_\_\_

## 12. DTC Eligible Securities

DTC CLEARING #0075  
FOR FURTHER CREDIT TO LPL ACCOUNT # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT NAME \_\_\_\_\_

## 14. Physicals vs. Payment

DTC, NY WINDOW SERVICES  
55 WATER ST, 1ST FLOOR  
NEW YORK, NY 10041  
BROKER #0075  
REFERENCE: LPL FINANCIAL LLC  
FOR FURTHER CREDIT TO LPL ACCOUNT # \_\_\_\_\_  
FOR FURTHER CREDIT TO LPL ACCOUNT NAME \_\_\_\_\_

